



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. E. CARROLL

Serial No: N/A

Art Unit: Examiner:

N/A N/A

Filed:

Herewith Atty. Docket:

LOT920010026US1

Title: SYSTEM AND METHOD FOR DISPLAYING TEXT AND GRAPHICS IN FRAME BORDERS

INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.56, 1.97, 1.98

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to the examination of this application pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed.

While the items submitted with this Information Disclosure Statement MAY be material pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) this submission shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56, exists.

The Commissioner is authorized to charge any applicable fee under 37 C.F.R. Sect. 1.17(P) to IBM Corp. deposit account 12-2158.

Respectfully submitted,

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8 JAN 2002

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| PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  APPLICANT: M. E. CARROLL | Page1      | _ of _ | _2  | FILING DATE | <br>HEREWITH      | GROUP | N/A | <br>5    |
|---|------------|--------|-----|-------------|-------------------|-------|-----|----------|
| PUBLICATIONS FOR APPLICANT: M. E. CARROLL   |            |        | III | FILING DATE | <br>HEREWITH      | GROUP | N/A | <br>1101 |
| LIOT OF DATESTIC AND  | PUBLICATIO | NS FOI | 2   | APPLICANT:  | <br>M. E. CARROLL |       |     | , u.s.   |

| EXAMINER INITALS |    | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS | SUBCLASS | DATE     |
|------------------|----|--------------------|----------|-----------------|-------|----------|----------|
|                  | AA | 5,880,740          | 3/9/99   | HALLIDAY ET AL  | 345   | 435      | 7/12/96  |
|                  | AB | 5,850,232          | 12/15/98 | ENGSTROM ET AL  | 345   | 511      | 4/25/96  |
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|                  | AG |                    |          |                 |       |          |          |
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|                  | AJ |                    |          |                 |       |          |          |

## FOREIGN PATENT DOCUMENTS

| EXAMINER INITALS |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|------------------|----|--------------------|------|---------|-------|----------|-------------|
|                  | AL |                    |      |         |       |          |             |
|                  | AM |                    |      |         |       |          |             |
|                  | AN |                    |      |         |       |          |             |
|                  | AO |                    |      |         |       |          |             |
|                  | AP |                    |      |         |       |          |             |

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

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|     | FORM PTO 1449 (Modified)   |
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|     | LIST OF PATENTS AND<br>PUBLICATIONS FOR<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT |
| - 1 |  |

| ATTY. DOCKET NO. | LOT920010026US1 | SERIAL NO. | N/A |      |
|------------------|-----------------|------------|-----|------|
| APPLICANT:       | M. E. CARROLL   |            |     |      |
| FILING DATE      | HEREWITH        | GROUP      | N/A | <br> |

## REFERENCE DESIGNATION

## OTHER ART

| EXAMINER<br>INITIALS |    | BIBLIOGRAPHIC DATA (Author, Title, Date, Pertinent Pages, etc.)   |
|----------------------|----|---|
|                      | AQ | Internet Dévelopment Index, frameSet Object. HTML 4.0. World Wide Web Consortium (W3C) Document Object Model (DOM) Level 1. 9 pages [Copied to e-mail message, Mike Carroll to Shelley Beckstrand, 12/11/01.] |
|                      | AR | Internet Development Index, frame Object. HTML 4.0. World Wide Web Consortium (W3C) Document Object Model (DOM) Level 1. 12 pages [Copied to e-mail message, Mike Carroll to Shelley Beckstrand, 12/11/01.]   |
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